

## Cell damaging by irradiating non-thermal plasma to the water: Mathematical modeling of chemical processes

Leila Karami-Gadallo<sup>1</sup>, Leila Ataie-Fashtami<sup>2,\*</sup>, Mahmood Ghoranneviss<sup>3</sup>,  
Majid Pouladian<sup>4,5</sup>, Dariush Sardari<sup>1</sup>

- 1) Department of Medical Radiation Engineering, Science and Research Branch, Islamic Azad University, Tehran, Iran
- 2) Department of Regenerative Medicine, Royan Institute for Stem Cell Biology & Technology, Tehran, Iran
- 3) Department of Plasma Physics, Science and Research Branch, Islamic Azad University, Tehran, Iran
- 4) Department of Biomedical Engineering, Science and Research Branch, Islamic Azad University, Tehran
- 5) Research Center of 'Engineering in Medicine and Biology', Science and Research Branch, Islamic Azad University, Tehran, Iran

### ABSTRACT

Recently non-thermal plasma (NTP) is applied for many therapeutic applications. By NTP irradiating to the tissues or cell-lines, the water molecules ( $H_2O$ ) would be also activated leading to generate hydrogen peroxide ( $H_2O_2$ ). By irradiating plasma to bio-solution, its main output including vacuum UV to UV causes the photolysis of  $H_2O$  leading to generate hydroxyl (OH) molecules in couple forms with ability to convert to  $H_2O_2$ . Additionally, other plasma's output the oxygen atoms could also penetrate under the liquid's surface and react with  $H_2O$  to generate  $H_2O_2$ . In NTP applications for killing unwanted-cells of microorganisms (e.g. sterilization) or cancerous tissues, the  $H_2O_2$  molecule is the main reactive species for cell death via inducing DNA damage in mammalian cells. In this paper we proposed a mathematical model for NTP application describing the formation of hydroxyls in the bio solution and other subsequent reactions leading to DNA damage in vitro. The instant concentrations of the OH and  $H_2O_2$ , the main species for DNA oxidation were obtained and investigated in this simulation. In order to validate the model, the cellular response to NTP stimulation was compared with some experimental findings from viewpoint of DNA damage to show the significant consistency.

**Keywords:** Modeling; DNA damage; hydroxyl; peroxide; non-thermal plasma

### INTRODUCTION

Recently, the plasma which generated at room temperature and atmosphere pressure is applied in different medical fields (e.g., sterilization, wound cure, dentistry and cancer treatment) and known as plasma medicine that could be found in introductory reviews [1, 2]. Such kind of plasma could be generated by passing a noble gas (e.g. helium or argon) through a

\*Corresponding Author: Department of Regenerative Medicine, Royan Institute for Stem Cell Biology & Technology, Tehran, Iran

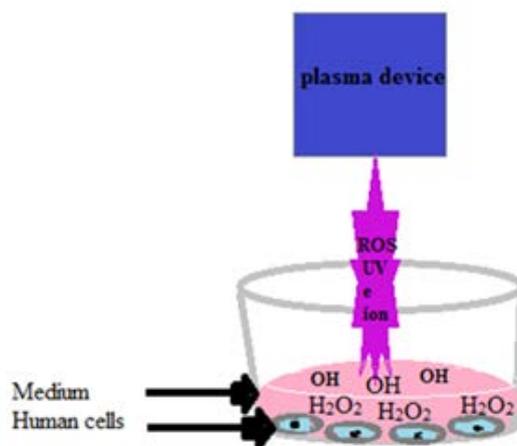
Tel: +98-9121370602

Fax: +98-21-22339958

E. mail: ataiefash@gmail.com

medium with an AC magnetic field (e.g. solenoid supplying by electricity in kV and KHz range). Such exhausting ionized gas looks like a flare but not hot, named as non-thermal plasma (NTP). The NTP includes electrons, ions, electromagnetic photons (e.g. visible and ultra violet light) and some reactive chemical particles e.g., reactive nitrogen or oxygen species (RNS or ROS) especially hydroxyl. The free radicals of NTP could destruct the membrane of the cells through affecting their proteins and lipids [3]. NTP could be provided through different techniques leading to variant size of affecting area (a few mm<sup>2</sup> to cm<sup>2</sup> range) for different applications [1-4].

The recent application of NTP is based on affecting plasma on the water known as plasma-activated-water (PAW) which could be executed ‘directly’ to the water of the tissues (mostly extracellular fluids) as shown in Figure 1. The ‘indirect’ PAW could be performed on some mediator water which would then be applied to the tissues.



**Figure 1:** Schematic illustration for the interaction between NTP and cells *in vitro*. The reactive species formations in the culture medium (particularly hydroxyl) have been regarded as the main factors causing the death of cancer cells *in vitro*.

Here, hydroxyl effects of ‘direct PAW’ on damaging DNA (a less-noticed subject) were studied through mathematical modeling. This process makes the water to generate highly reactive species such as hydroxyl radical (OH). Since a significant percentage of the human body is water, including intracellular and extracellular fluids, the initial dominant reaction of any radiation with body is radiation-water interaction. In addition, cell culture mediums are water base, so attention to the radiation-water reactions for *in vivo* and *in vitro* NTP-studies is essential. Some NTP components including UV photons and oxygen atoms could interact with water to produce OH molecules [5].

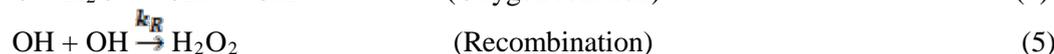
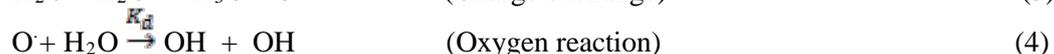
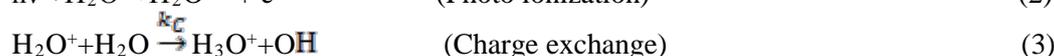
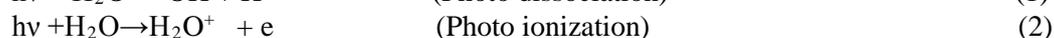
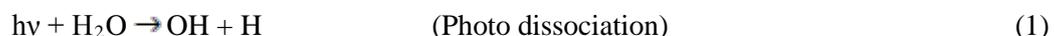
The aim of this study was “mathematical modeling” of the dominant photochemical / chemical reactions for OH formation by NTP irradiating to a bio solution (e.g. cell culture medium) and subsequent reactions leading to DNA damage.

## MATERIALS AND METHODS

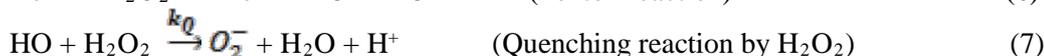
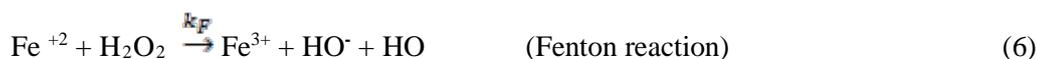
**Photochemical and chemical reactions:** By exposing NTP to H<sub>2</sub>O molecules within the cell culture medium, because of its UV photons, the photolysis reaction (i.e., photo dissociation and photoionization) occurs and generates extracellular OH (OH<sub>ex</sub>) radicals (with half-life in ns range) responsible for initiating many reactions in the bio-solution [5]. In photo dissociation reaction, a water molecule is dissociated to OH and H atoms while in photoionization, it is ionized to produce the H<sub>2</sub>O<sup>+</sup> (as shown in following Eq.1 and Eq.2, respectively). The H<sub>2</sub>O<sup>+</sup> penetrates only a few microns into the water then generates hydronium (H<sub>3</sub>O<sup>+</sup>) and OH<sub>ex</sub> through a charge exchange reaction as seen in Eq.3. Another significant mechanism of OH

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production in bio solution is the reaction of NTP's oxygen atom with H<sub>2</sub>O molecule at the liquid surface [6-7] as shown in Eq.4. Subsequent dominant reaction is OH<sub>ex</sub> recombination and forming long live (12-24 h) extracellular H<sub>2</sub>O<sub>2</sub> radicals (H<sub>2</sub>O<sub>2ex</sub>) as shown in Eq.5.



The radical of H<sub>2</sub>O<sub>2ex</sub> is the major active component of NTP which could freely pass through the cell membrane to induce cellular injury through DNA damaging [8]. Unfortunately, it could also be produced within the gastric system by using diet or dietary supplements [9]. The H<sub>2</sub>O<sub>2ex</sub> radical diffuses across the cell membrane leading to increase the intracellular H<sub>2</sub>O<sub>2</sub> (i.e. H<sub>2</sub>O<sub>2in</sub>). The inserted H<sub>2</sub>O<sub>2in</sub> itself is not very reactive with cellular constituents, but in the presence of iron ions, could be converted to OH via Fenton reaction [10] (Eq.6). The iron is the most abundant transition metal in biological systems and indispensable element for living organisms. It is also potentially toxic because its excess levels lead to the generation of the OH in the presence of H<sub>2</sub>O<sub>2</sub> via the Fenton reaction. Like some other metal ions, the iron in the vicinity of DNA could also generate the OH<sub>in</sub> radicals from H<sub>2</sub>O<sub>2</sub>. The generated OH could induce several classes of DNA damage including single/double -strand break, a basic site, and base oxidation [11] as follow reactions (Eq.7 to 8):



**Mathematical modeling of reactions:** In order to investigate the mentioned reactions (Eq.1 to Eq.8), as usual, each concentration rate (i.e. variation velocity of any 'concentration as C' or derivative of C: dC/dt; in left sides) was expressed by other related concentrations (in right sides) as could be seen in the following differential equations (Eq.9 –Eq.15). The applied 7-variables and 11-parameters were defined in Table 1 with some typical values for the parameters and the relevant references.

$$\frac{d[H_2O]}{dt} = -I\sigma\phi[H_2O] - k_d [H_2O][O] \quad (\text{Photo dissociation and Ionization}) \quad (9)$$

$$\frac{d[OH]_{ex}}{dt} = I\sigma\phi[H_2O] + k_C [H_2O][H_2O] - k_R [OH]_{ex}[OH]_{ex} + k_d [H_2O][O] \quad (10)$$

$$\frac{d[H_2O_2]_{ex}}{dt} = k_R [OH]_{ex}[OH]_{ex} - k_{diff} \frac{n \cdot V_{internal}}{V_{external}} ([H_2O_2]_{ex} - [H_2O_2]_{in}) \quad (11)$$

$$\frac{d[H_2O_2]_{in}}{dt} = k_{diff} ([H_2O_2]_{ex} - [H_2O_2]_{in}) - k_F [Fe^{2+}][H_2O_2]_{in} - k_Q [H_2O_2]_{in} [OH]_{in} \quad (12)$$

$$\frac{d[Fe^{2+}]}{dt} = -k_F [Fe^{2+}][H_2O_2]_{in} \quad (\text{Fenton reaction}) \quad (13)$$

$$\frac{d[OH]_{in}}{dt} = k_F [Fe^{2+}][H_2O_2]_{in} - k_Q [H_2O_2]_{in} [OH]_{in} - k_{DNA} [OH]_{in} [DNA] \quad (14)$$

$$\frac{d[DNA]}{dt} = -k_{DNA} [DNA][OH]_{in} \quad (\text{DNA damage}) \quad (15)$$

**Table 1:** The definitions of variables and parameters applied in the Eq.9-Eq.15

Symbols	Definitions	Initial/Value	References
<i>Variables</i>			
[H <sub>2</sub> O]	H <sub>2</sub> O conc.	500 μM	-
[OH] <sub>ex</sub>	extracel. OH conc.	0 μM	-
[OH] <sub>in</sub>	intracel. OH conc.	0 μM	-
[H <sub>2</sub> O <sub>2</sub> ] <sub>ex</sub>	extracel. H <sub>2</sub> O <sub>2</sub> conc.	0 μM	-
[H <sub>2</sub> O <sub>2</sub> ] <sub>in</sub>	intracel. H <sub>2</sub> O <sub>2</sub> conc.	0 μM	-
[Fe <sup>2+</sup> ]	Intracel. Free iron conc.	80nM	-
[DNA]	DNA conc.	17μM	-
<i>Parameters</i>			
σ	water molecule absorption cross-section	1×10 <sup>-20</sup> cm <sup>2</sup>	[25]
Φ	photolysis quantum yield	0.33	[33]
K <sub>R</sub>	Recombination reaction rate constant	5.5×10 <sup>9</sup> M <sup>-1</sup> s <sup>-1</sup>	[34]
K <sub>F</sub>	Fenton reaction rate constant	4.4×10 <sup>4</sup> M <sup>-1</sup> s <sup>-1</sup>	[35]
K <sub>Q</sub>	Quenching reaction rate constant	2.7×10 <sup>7</sup> M <sup>-1</sup> s <sup>-1</sup>	[8]
K <sub>diff</sub>	Diffusion rate	70 s <sup>-1</sup>	[7]
K <sub>DNA</sub>	damage reaction rate constant	4.7×10 <sup>9</sup> M <sup>-1</sup> s <sup>-1</sup>	[8]
K <sub>C</sub>	charge exchange reaction rate constant	6 × 10 <sup>3</sup> M <sup>-1</sup> s <sup>-1</sup>	[8]
I	VUV-UV photons intensity	1×10 <sup>8</sup> s <sup>-1</sup> cm <sup>-2</sup>	-
N	Initial cell density	1×10 <sup>4</sup> cm <sup>-3</sup>	-
V <sub>in</sub> /V <sub>ex</sub>	intracell. To extracell. Volume rate	3.2×10 <sup>-15</sup>	[8]

Water molecules concentrations in bio solution decreases by two dominant reactions include; water photolysis reaction (first term in Eq.9 which depends on the light intensity, the water molecule absorption cross-section, photolysis quantum yield, and the photolysis time) and the oxygen atom reaction with water molecules on the bio solution surface (second term in Eq.9). Hence, [OH]<sub>ex</sub> is increased by these two mentioned reactions and decreased by recombination process of OH radicals to forming H<sub>2</sub>O<sub>2</sub><sub>ex</sub> (as shown with minus sign of third term of Eq.10 and in first term of Eq.11) [7]. On the other hand (second term of Eq.11), the [H<sub>2</sub>O<sub>2</sub>]<sub>ex</sub> is reduced by diffusing from outside to inside of the cells [8]. The resultant [H<sub>2</sub>O<sub>2</sub>]<sub>in</sub> is decreased by two processes of Fenton and quenching (second and third terms of Eq. 12 respectively). For *in vitro* systems, there is no [H<sub>2</sub>O<sub>2</sub>]<sub>in</sub> growth term due to inside production of the cells. In addition, the more cell density, the faster detoxification of the medium: as shown in the form of the relative cellular volume parameter in the second term of Eq. 11 [8]. Eq. 13 shows a decreasing manner for [Fe<sup>2+</sup>], due to Fenton phenomenon (assuming no production inside the cell *in vitro*). The [OH]<sub>in</sub> is decreased because of DNA consumption (the last term in Eq. 14). Hence, [DNA] is reduced (as shown in Eq. 15) leading to cell death.

**Numerical simulation:** For solving such differential equations (Eq.9 to Eq.15), a discretization technique known as finite difference method was applied. Since, all of them are first-order differential equations, the Euler method could be a proper selection in which the local error (error per step) is proportional to the square of the 'step size' (or 'sampling period: *T*'), and the global error (error at a given time) is proportional to the step size [12]. Thus, in order to make the approximation error of this method to be a negligible value, *T* was assumed to a relatively small value of 0.1 ns (or 10<sup>-10</sup> s). Thus continuous space with time-variant of *t* could be converted to a discrete-space with time-index of *n*, since all variables are sampled at *t* equals to *nT*.

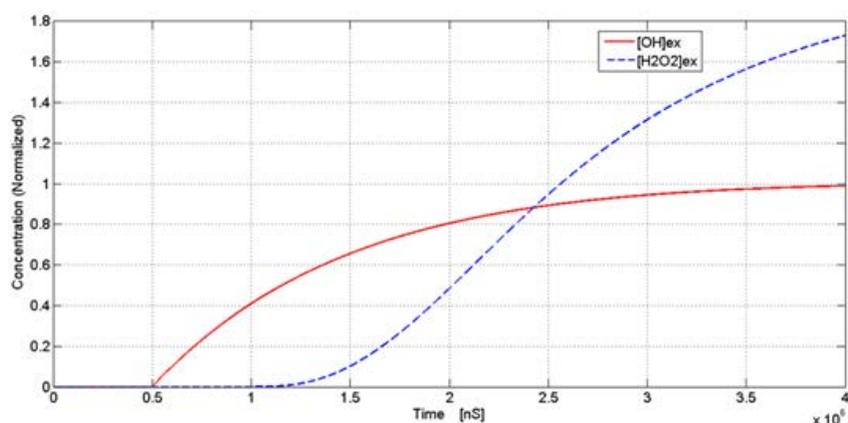
Therefore, by substituting of  $dC(t)/dt$  by a difference form of  $[C(n+1)-C(n)]/T$ , a differential equation with a typical form of  $dC_1/dt=k_1.C_1+k_2.C_2$  would be converted to a recursive relation of  $C_1(n+1)=C_1(n)+T.K_1.C_1(n)+T.k_2.C_2(n)$  which could be simply solved (by a 'for'-loop command of any computer language) based on the initial values of variables *C*<sub>1</sub> and *C*<sub>2</sub> and parameters *k*<sub>1</sub> and *k*<sub>2</sub>.

All of the parameters and initial values could be seen in Table 1, thus the presented mathematical model could be programmed and simulated numerically by any calculation-software. Here, the MATLAB software (MathWorks: R2014a) was used to simulate the model and plotting the graphs.

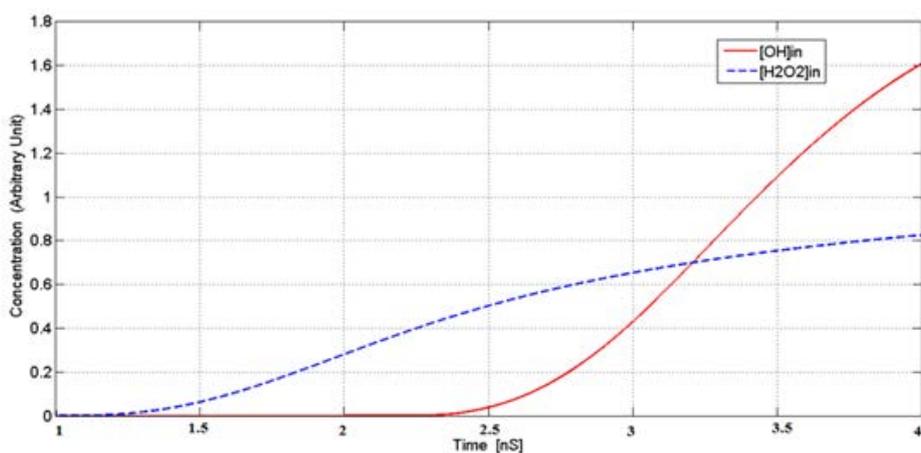
In order to validate the presented model, the concentrations variations of main factors versus the time and also the manner of DNA damage in response to NTP stimulation were compared with some practical findings of other researchers to show the significant consistency.

## RESULTS AND DISCUSSION

After applying NTP for a bio solution, as mentioned before, the OH is generated through two processes of photo dissociation (UV-H<sub>2</sub>O reaction) and surface-plasma (oxygen reaction). A while after, the H<sub>2</sub>O<sub>2</sub> is also produced in water due to two OH recombination reactions leading to reduce the ascending ramp of [OH]<sub>ex</sub> curve as could be noticed in Fig. 2. The H<sub>2</sub>O<sub>2</sub> is an important molecule in biological systems because it can pass freely from outside toward inside the cells but not vice versa. Such unidirectional diffusion makes endogenous H<sub>2</sub>O<sub>2</sub> to rise as could be seen in Fig. 3. On the other hand, within the cell, the inserted H<sub>2</sub>O<sub>2</sub> molecules start to be decomposed to OH (by reaction with endogenous Fe<sup>2+</sup>) leading to generate endogenous OH. Hence, after a short time, the increasing slope of [H<sub>2</sub>O<sub>2</sub>]<sub>in</sub> curve starts to decrease while [OH]<sub>in</sub> is increasing as shown in Fig. 3.

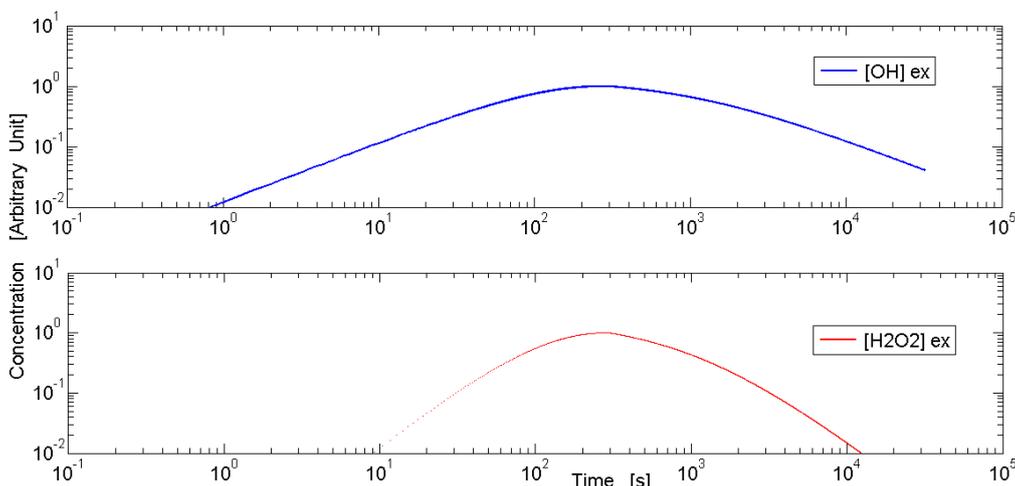


**Figure 2:** the time evolution of extracellular [OH] and [H<sub>2</sub>O<sub>2</sub>] in the bio solution. It was assumed the uniform distribution for species in the bio solution. The OH molecule is produced and its concentration increased during the discharge due to the mentioned reactions leading to generate H<sub>2</sub>O<sub>2</sub>



**Figure 3:** the time evolution of intracellular OH and H<sub>2</sub>O<sub>2</sub> concentrations of species which are increased due to diffusion of H<sub>2</sub>O<sub>2</sub> toward the inside of cell.

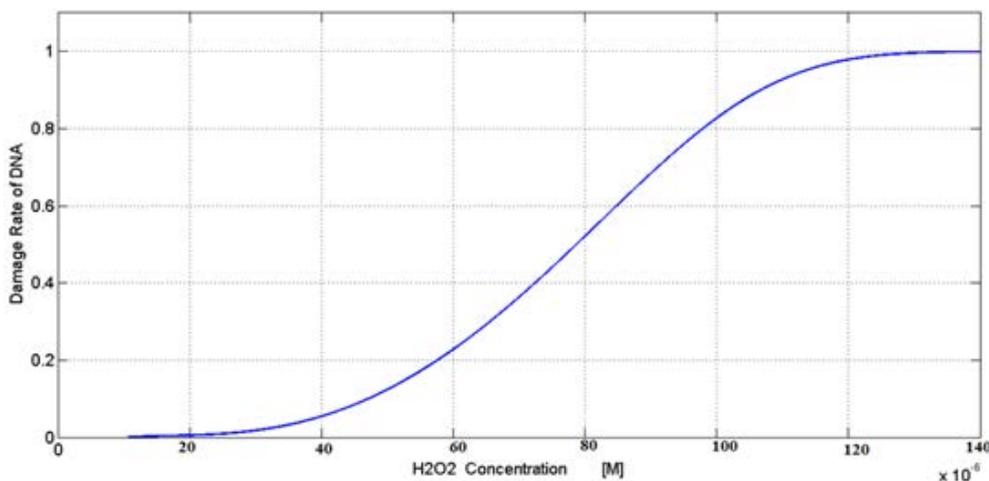
By stopping NTP irradiation, the exogenous OH generation is also stopped whilst the  $H_2O_2$  generation is continued and diffused toward the inside of cells till diminishing  $[OH]_{ex}$ , as shown in Fig. 4. The last produced component, the OH is known as the killer agent of such treatment process which could be reacted by DNA leading to cell death based on some cancer treatment studies [13-16].



**Figure 4:** The steady state of OH and  $H_2O_2$  concentrations within the cells after 300 s NTP irradiation

The magnitude of DNA damage versus  $[H_2O_2]_{ex}$  was obtained and presented in Fig. 5. The obtained curve seems to be an ascending manner as well as appeared in the experimental findings of some researchers [8, 17]. The delay in rising makes the obtained curve to present a ‘sigmoidal’ appearance. Hence, there could be found a critical point on the horizontal axis with the maximum slope (i.e. zero second-derivative). It means that there is an optimum concentration for  $H_2O_2$  with maximum sensitivity of DNA to be damaged. Such delay could be decreased by varying some parameters: increasing  $n$  the cell density,  $H_2O_2$  diffusion toward the cell inside, free available iron concentration, and/or decreasing  $k_q$ .

The  $H_2O_2$  molecule induces DNA damage which could decrease cell viability through activating of apoptosis pathways in a concentration- and time-dependent manner as mentioned in a review article for A549 cells [18].



**Figure 5:** The relation between the DNA damage and the extracellular  $H_2O_2$  concentration that shows an increasing manner which has a threshold with the maximum slope or zero second-differentiating (about  $[H_2O_2] = 80 \mu M$ )

The described 'DNA damage model' could be applied to variety of the NTP sources with any magnitude of VUV-UV intensity and relevant atom initial concentrations only by adjusting the model's parameters and initial values. Many studies on optical emission spectroscopy of several source kinds of NTP with different gas (e.g., argon, helium, or air) show VUV-UV and oxygen line in emission spectrum [19-24]. In treatment applications with irradiating plasma directly to the cells, the plasma output components could encounter with two types of targets: the cells, or the water around the cells. Other studies have noticed to the interaction of plasma components (mainly ROS and RNS) with the cells [19-24], whilst in this research we focused on the interaction with extracellular water through modeling. Some researchers activated a little water or mist by plasma irradiation, and then applied such activated product for killing microorganisms or cancerous cells [5-7, 25-26].

We considered in our model the main reaction of OH radical production in bio solution is water photolysis. Some researchers have shown that the VUV component of NTP irradiating to a bio solution has the main role in producing of OH radicals [2]. In this manner, some other researchers reported that by blocking UV/VUV photons, the density of OH radicals is reduced by more than 60% [12, 25]. The production of hydroxyl radicals has high efficient, since at the VUV range both absorption cross-section of water and quantum yield of OH radicals are high [12]. In addition, a little of OH radicals could also be generated by the reaction of the oxygen atom (found in NTP output) with the H<sub>2</sub>O molecule accessible at the liquid surface [12, 26]. There is a bit of OH in gas phase solved in water which could be negligible relative to other sources.

Among components of NTP-based products, H<sub>2</sub>O<sub>2</sub> has been shown to be main factor triggering the death of cancerous cells via induce DNA damage by endogenous OH through Fenton reaction in mammalian cells [27]. H<sub>2</sub>O<sub>2</sub> alone with a relative high concentration or as the mediator of a series of anticancer drugs can selectively induce apoptosis in cancerous cells [28].

In different studies, it was shown that the effective H<sub>2</sub>O<sub>2</sub> concentration in killing the cancerous cells depends on the type of cells. In one research, it was shown that H<sub>2</sub>O<sub>2</sub> for 50–200 μM inhibits the proliferation of human breast cancer MCF-7 cells [29], but at 1–10 μM it increases the proliferation of hepatoma 7721 cells [30]. Interestingly, the proliferation of HT-29 colon cancer cells is enhanced at 10 μM whereas a higher concentration (about 1000 μM) leads to apoptosis [31]. The H<sub>2</sub>O<sub>2</sub> for 50 μM also produced cell cycle arrest in A549 lung cancer cells [32].

Some studies worked on water photolysis and oxygen reactions [33-34] that we used their parameters in Table 1. A practical research showing the extent of DNA damage versus oxidative factors also confirmed our model's findings in fig.5 [35]. Since our model shows the chemical interactions *in vitro* studies, the presence copper atoms could decrease H<sub>2</sub>O<sub>2</sub>, whilst we did not imagine it because of following causes: The copper concentration is usually relatively low; the most of the copper-mediated hydroxyl radicals (obtained by H<sub>2</sub>O<sub>2</sub> oxidation) are formed in the periplasm location, far away from DNA [8].

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**Conflict of Interest:** The authors have declared that no competing interests exist.

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